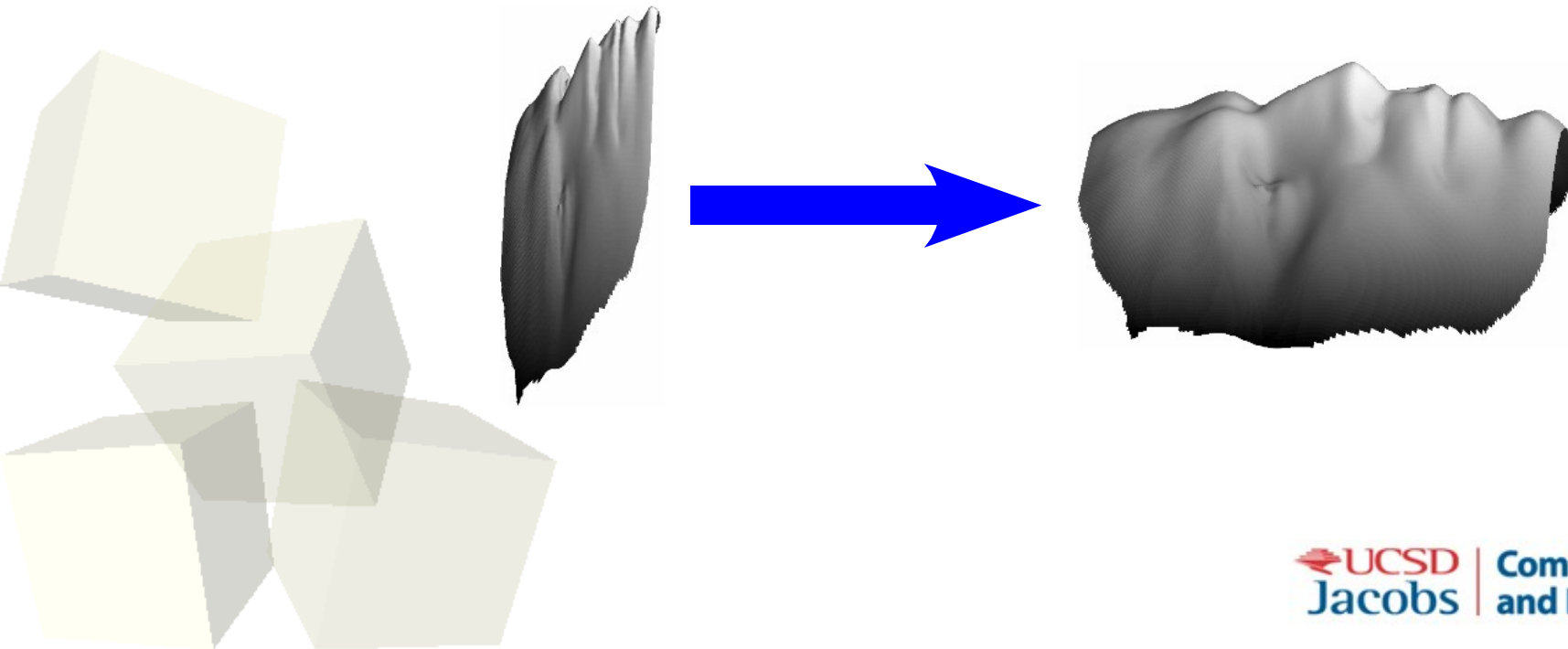
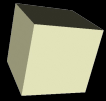




# Resolving the Generalized Bas-Relief Ambiguity by Entropy Minimization

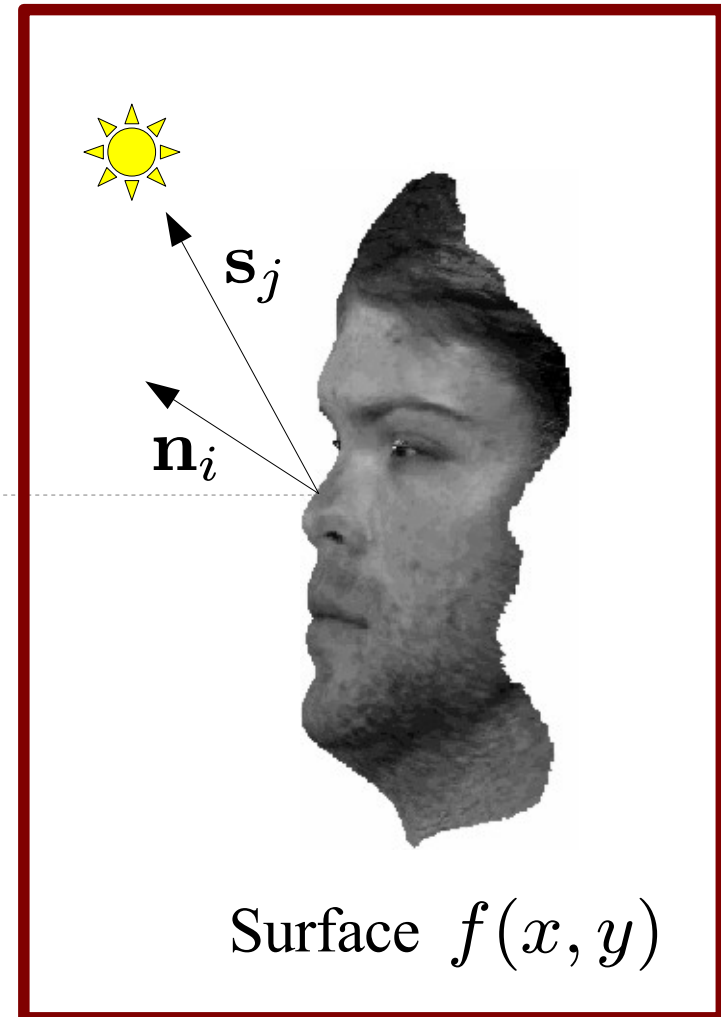
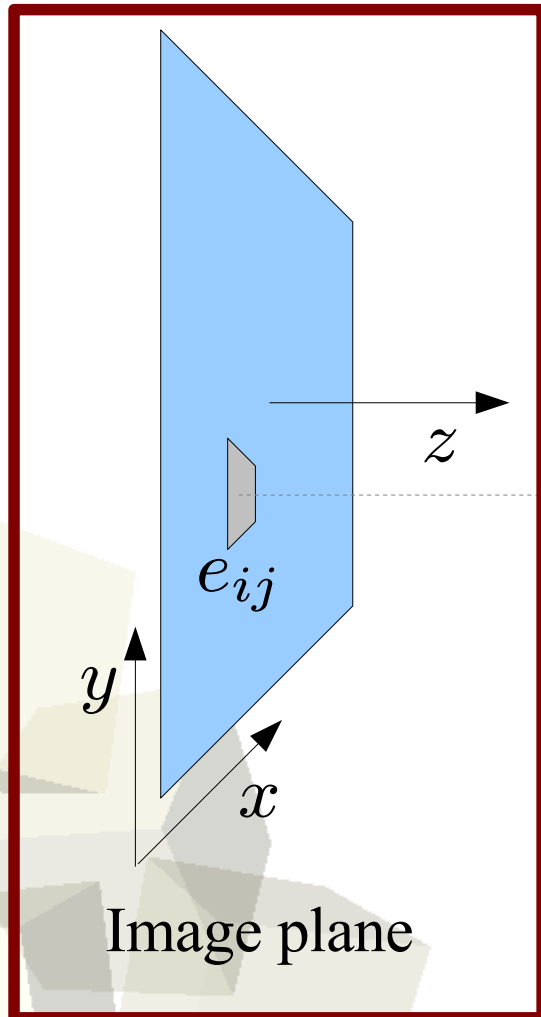
Neil G. Aldrin    Satya P. Mallick    David J. Kriegman  
University of California, San Diego

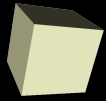




# Image Formation Model

- Camera centered coordinate system
- Orthographic camera

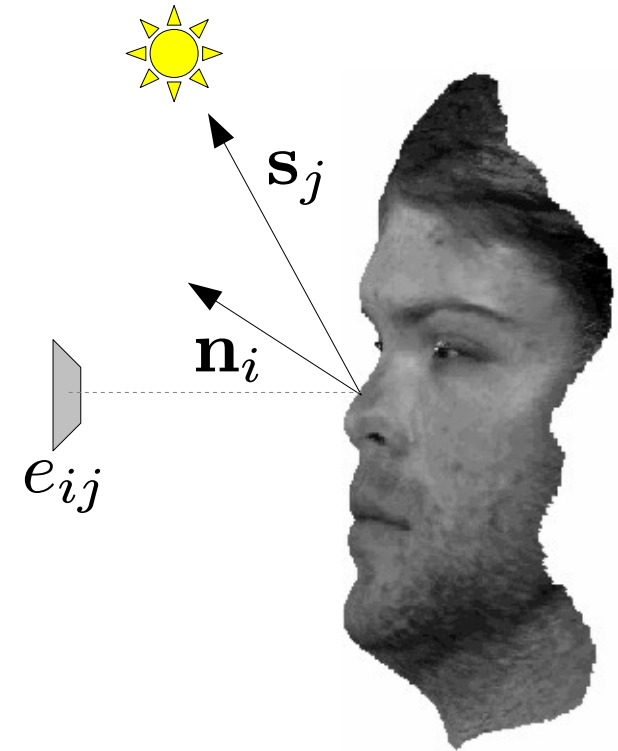




# Image Formation Model

## ■ Assumptions :

- ◆ Lambertian reflectance
- ◆ Orthographic camera
- ◆ Distant point light source
- ◆ No cast shadows / interreflections



## ■ Image formation,

- ◆  $e_{ij} = \rho_i \mathbf{n}_i^\top \mathbf{s}_j = \mathbf{b}_i^\top \mathbf{s}_j$
- ◆  $e_{ij}$  – pixel intensity at  $i$ th pixel,  $j$ th image
- $\mathbf{n}_i$  – surface normal at  $i$ th pixel ( $3 \times 1$ )
- $\rho_i$  – albedo at  $i$ th pixel
- $\mathbf{b}_i$  – facet vector  $\mathbf{b}_i = \rho_i \mathbf{n}_i$  ( $3 \times 1$ )
- $\mathbf{s}_j$  – light source vector of  $j$ th image ( $3 \times 1$ )



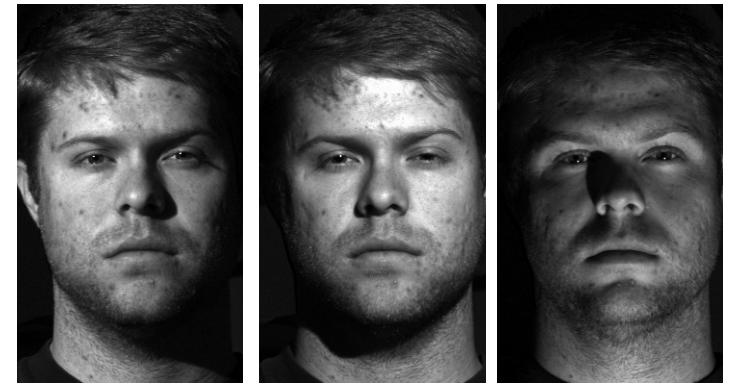


# Photometric Stereo

■ [Silver 1980, Woodham 1981]

■ Goal :

- ◆ Recover surface (normal map)
- ◆ Fixed scene
- ◆ Fixed viewpoint
- ◆ Varying Illumination

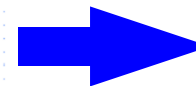
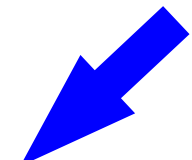
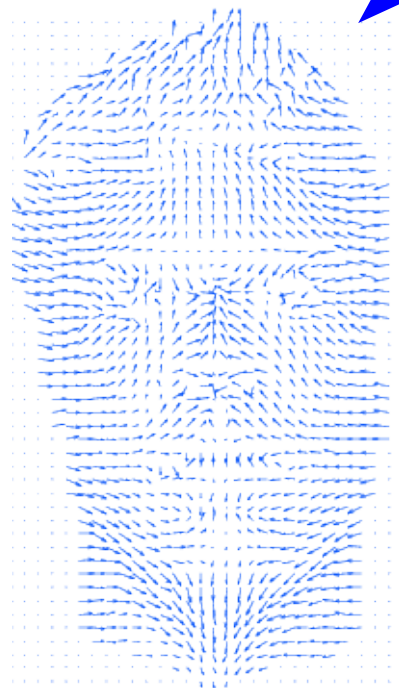


(Yale Face Database B)

■ Solve linear system,

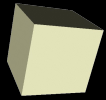
- ◆  $\mathbf{E} = \mathbf{BS}$
- ◆  $\{\mathbf{E}\}_{i,j} = e_{ij}$
- ◆  $\{\mathbf{B}\}_{i,:} = \rho_i \mathbf{n}_i^T$
- ◆  $\{\mathbf{S}\}_{:,j} = s_j$

■ Solution :  $\mathbf{B} = \mathbf{ES}^\dagger$



# Uncalibrated Photometric Stereo

- What if the lighting  $S$  is unknown?
- Family of solutions,
  - ♦  $\mathbf{E} = \mathbf{B}\mathbf{S} = \mathbf{B}\mathbf{A}^{-1}\mathbf{A}\mathbf{S}$
  - ♦  $\mathbf{A} \in GL(3)$ 
    - [Hayakawa 1994]
    - [Epstein, Yuille, & Belhumeur 1996]
    - [Rosenholtz & Koenderink 1996]
- Factorize  $\mathbf{E}$  with rank 3 SVD approximation,
  - ♦  $\mathbf{E} = \mathbf{U}\mathbf{\Sigma}_{3 \times 3}\mathbf{V}^T \rightarrow \mathbf{B}\mathbf{A}^{-1}\mathbf{A}\mathbf{S}$
  - ♦ Recovers  $\mathbf{B}, \mathbf{S}$  up to a 3x3 invertible linear transform



# The GBR Ambiguity

## ■ SVD + Integrability,

$$\mathbf{E} = \hat{\mathbf{B}}\hat{\mathbf{S}} = \mathbf{B}\mathbf{G}^{-1}\mathbf{G}\mathbf{S}$$

[Belhumeur, Kriegman & Yuille 1997]

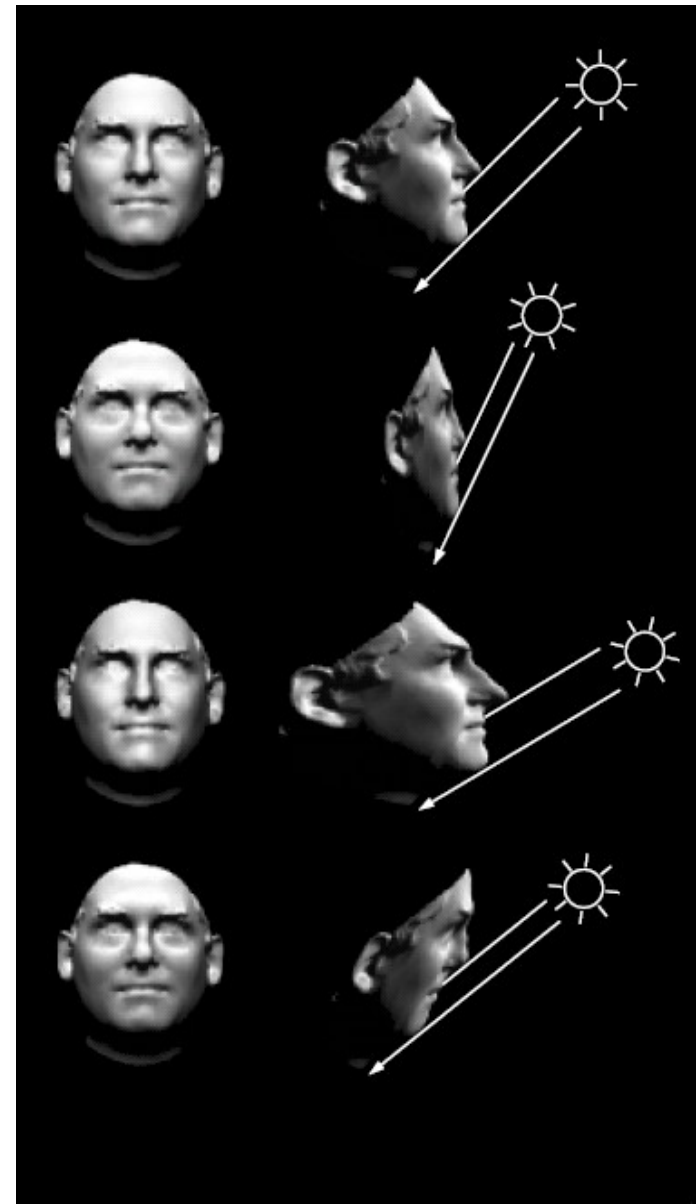
[Yuille & Snow 1997]

## ■ G encodes the GBR ambiguity,

$$\diamond \mathbf{G} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ \mu & \nu & \lambda \end{pmatrix}$$

♦ 3 parameters  $(\mu, \nu, \lambda)$

♦  $\mathbf{G} \in GL(3)$



(Belhumeur, Kriegman, and Yuille)



# The GBR Ambiguity

- SVD + Integrability,

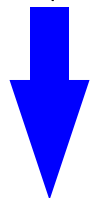
$$\mathbf{E} = \hat{\mathbf{B}}\hat{\mathbf{S}} = \mathbf{B}\mathbf{G}^{-1}\mathbf{G}\mathbf{S}$$

[Belhumeur, Kriegman & Yuille 1997]

[Yuille & Snow 1997]

- Surface height,

$$z = f(x, y)$$

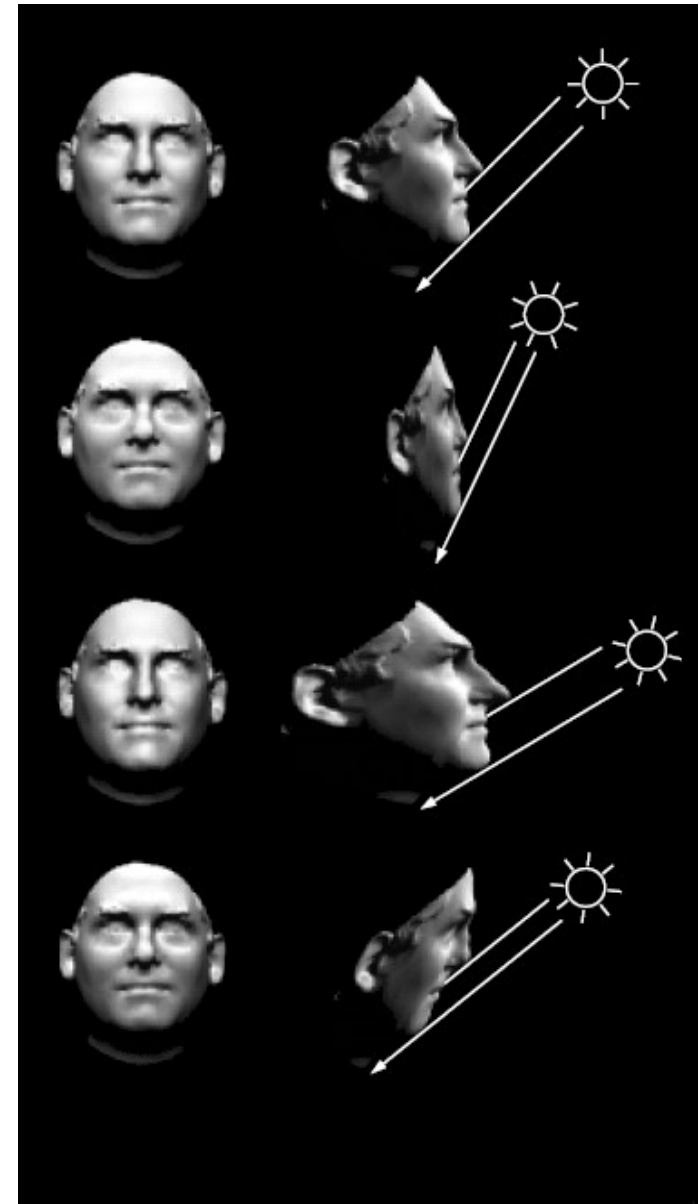


$$\hat{z} = \lambda f(x, y) + \mu x + \nu y$$

- Albedo & normal,

$$\hat{\rho} = \rho \|\mathbf{n}^\top \mathbf{G}^{-1}\|$$

$$\hat{\mathbf{n}}^\top = \frac{\mathbf{n}^\top \mathbf{G}^{-1}}{\|\mathbf{n}^\top \mathbf{G}^{-1}\|}$$



(Belhumeur, Kriegman, and Yuille)



# The GBR Ambiguity

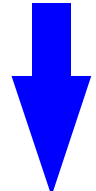
- SVD + Integrability,

$$\mathbf{E} = \hat{\mathbf{B}}\hat{\mathbf{S}} = \mathbf{B}\mathbf{G}^{-1}\mathbf{G}\mathbf{S}$$

[Belhumeur, Kriegman & Yuille 1997]  
[Yuille & Snow 1997]

- Surface height,

$$z = f(x, y)$$

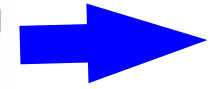


$$\hat{z} = \lambda f(x, y) + \mu x + \nu y$$

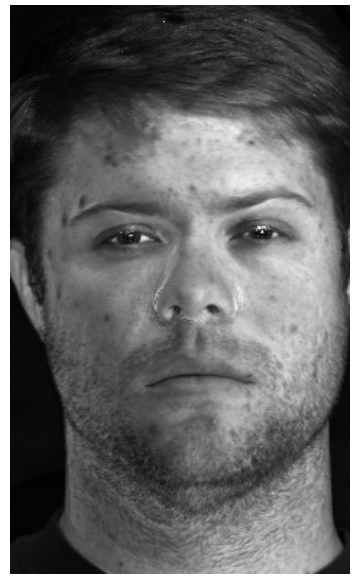
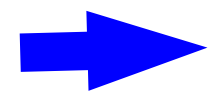
- Albedo & normal,

$$\hat{\rho} = \rho \|\mathbf{n}^\top \mathbf{G}^{-1}\|$$

$$\hat{\mathbf{n}}^\top = \frac{\mathbf{n}^\top \mathbf{G}^{-1}}{\|\mathbf{n}^\top \mathbf{G}^{-1}\|}$$



Albedo map



Albedo map (GBR)





# The GBR Ambiguity

- SVD + Integrability,

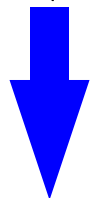
$$\mathbf{E} = \hat{\mathbf{B}}\hat{\mathbf{S}} = \mathbf{B}\mathbf{G}^{-1}\mathbf{G}\mathbf{S}$$

[Belhumeur, Kriegman & Yuille 1997]

[Yuille & Snow 1997]

- Surface height,

$$z = f(x, y)$$

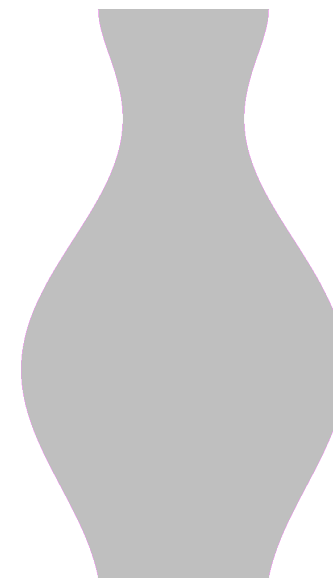
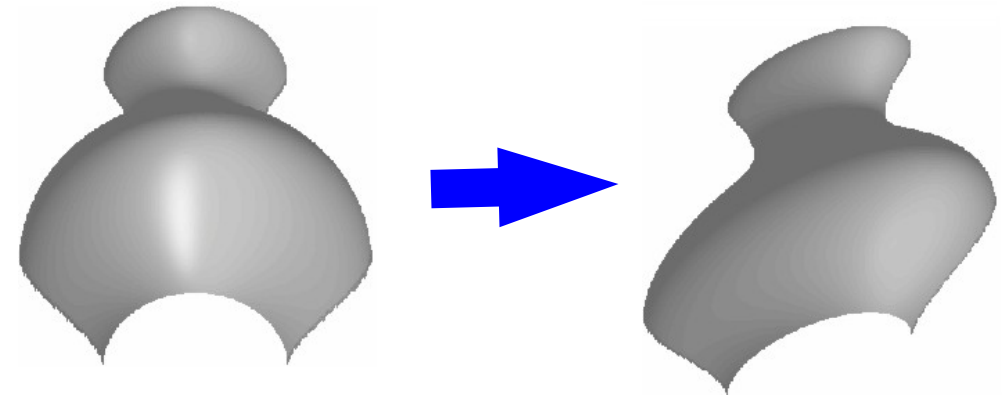


$$\hat{z} = \lambda f(x, y) + \mu x + \nu y$$

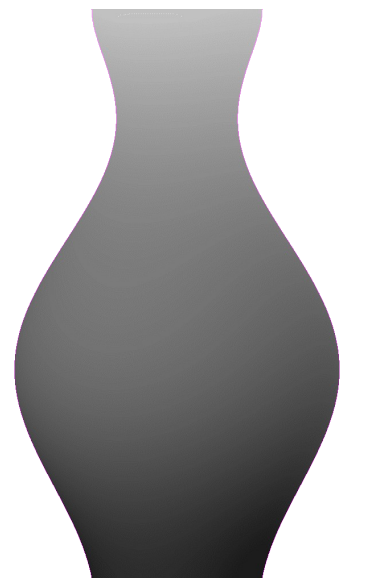
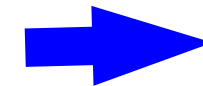
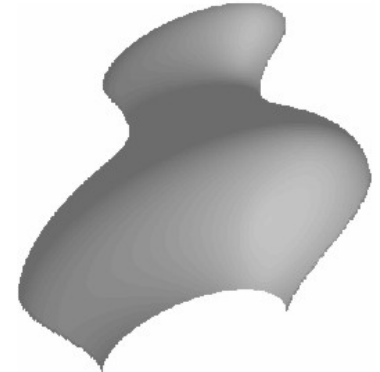
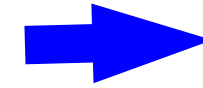
- Albedo & normal,

$$\hat{\rho} = \rho \|\mathbf{n}^\top \mathbf{G}^{-1}\|$$

$$\hat{\mathbf{n}}^\top = \frac{\mathbf{n}^\top \mathbf{G}^{-1}}{\|\mathbf{n}^\top \mathbf{G}^{-1}\|}$$

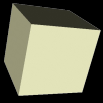


Albedo map



Albedo map (GBR)





## ■ Need additional constraints,

- ◆ Light source strength  
[Yuille & Snow 1997]
- ◆ Surface reflectance  
[Drbohlav & Sara 2002; Georghiades 2003; Tan et al. 2007]
- ◆ Surface geometry  
[Georghiades et al. 2001]
- ◆ Interreflections  
[Chandraker et al. 2005]
- ◆ Albedo distribution  
[Hayakawa 1994]

## ■ Albedo distribution :

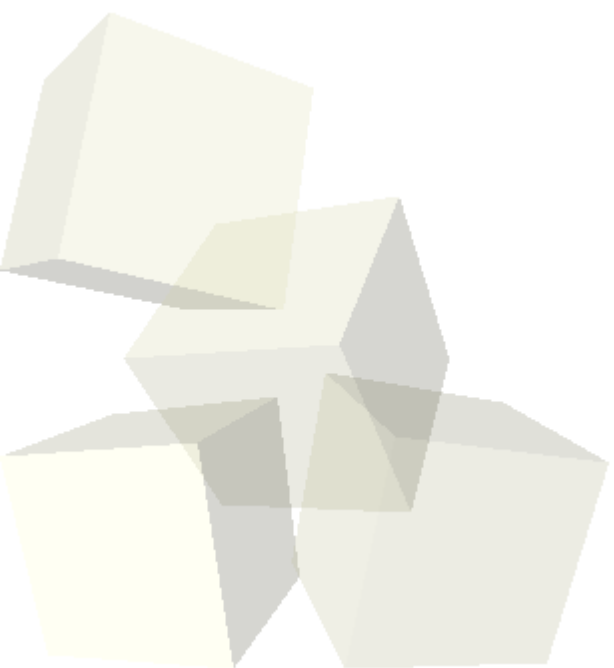
- ◆ Only uniform albedo has been exploited previously.





# Motivation

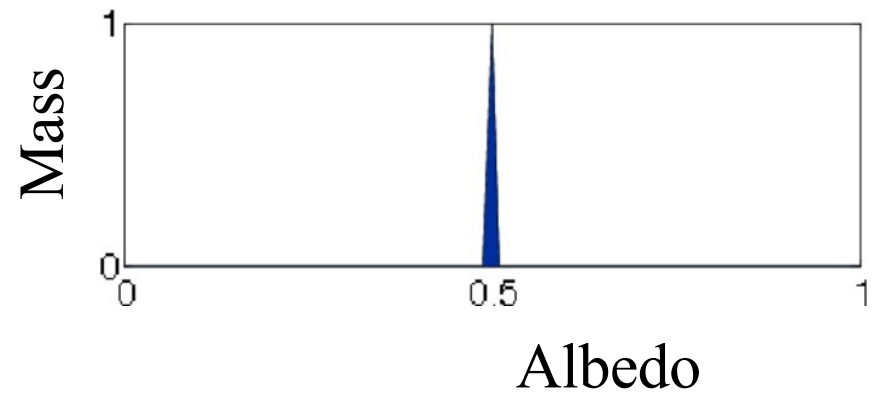
- Consider an object with one albedo
- Distribution well approximated by a delta function



Surface



Albedo map





- Under a GBR, the distribution is smeared
- Smearing dependent on  $G$  and the distribution of  $\mathbf{n}$

$$\hat{\rho} = \rho \|\mathbf{n}^\top \mathbf{G}^{-1}\|$$

- Analytic solution :

$$\hat{\mathbf{b}}^\top \mathbf{G} \mathbf{G}^\top \hat{\mathbf{b}} = \rho^2$$

- Linear in

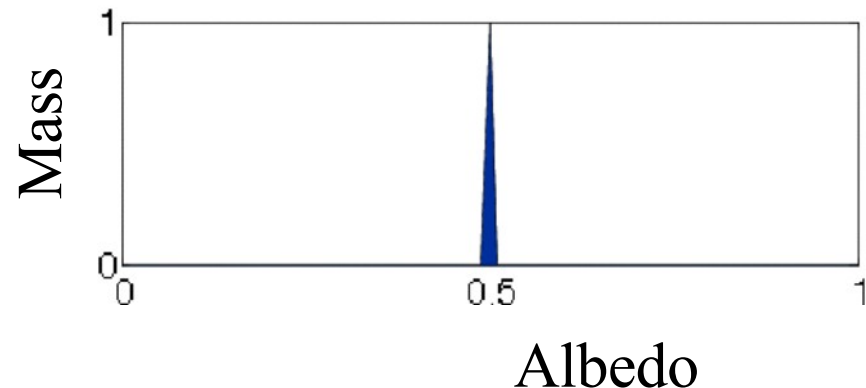
$$(\mu, \nu, \mu^2 + \nu^2 + \lambda^2, \rho^2)$$



$$\begin{pmatrix} \mu : 0 \rightarrow 0.5 \\ \nu : 0 \\ \lambda : 1 \rightarrow 0.75 \end{pmatrix}$$

Surface

Albedo map





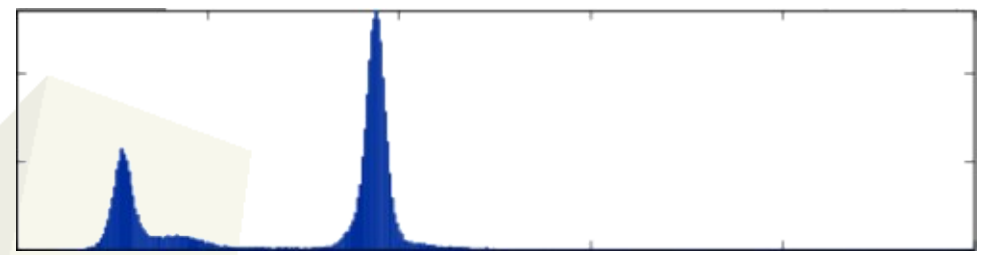
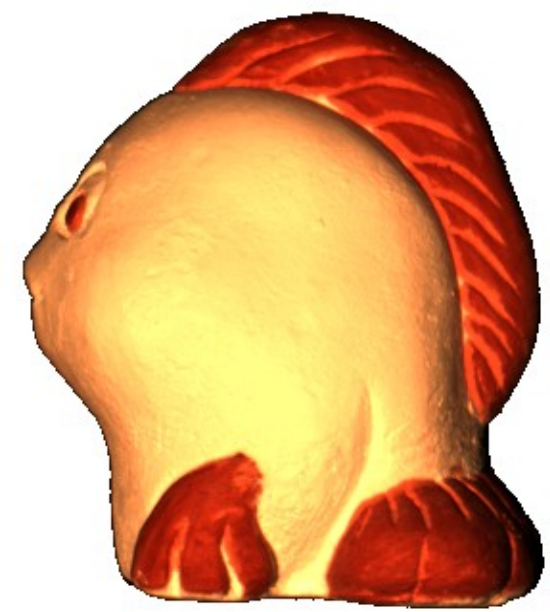
- Many objects consist of a small set of dominant colors
- We say such objects satisfy the *k-albedos* constraint



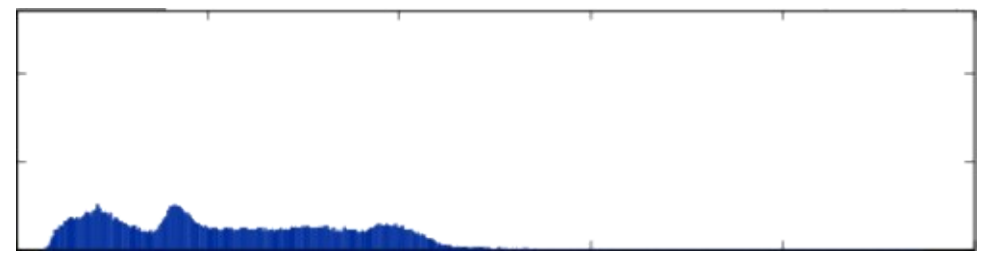


# Motivation

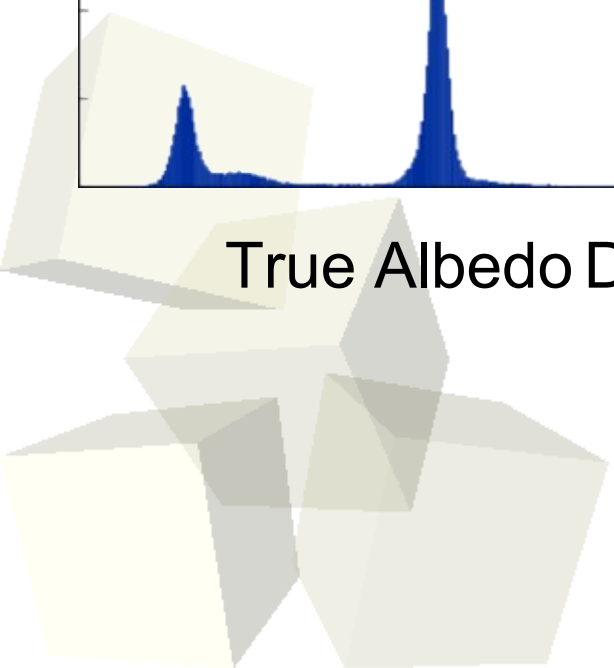
- Multi-color objects have multiple peaks
- A GBR transformation smears the peaks



True Albedo Distribution

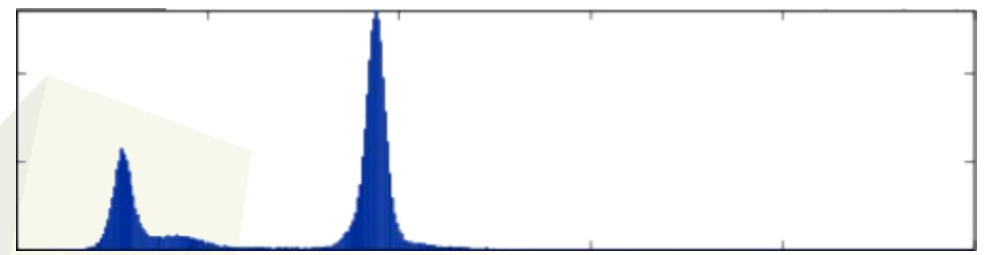
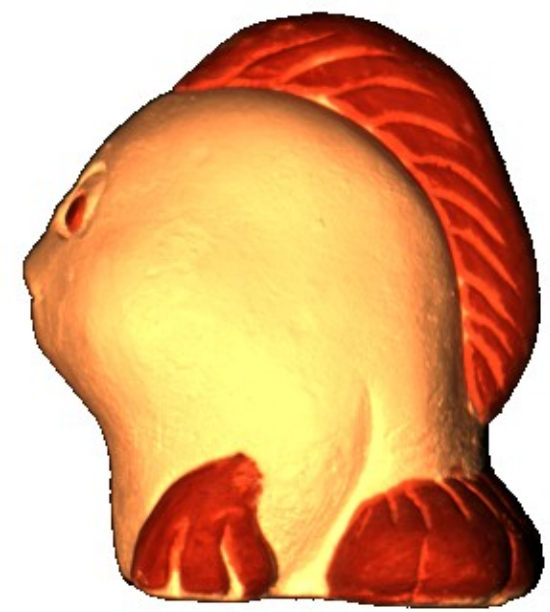


Albedo Distribution Under GBR

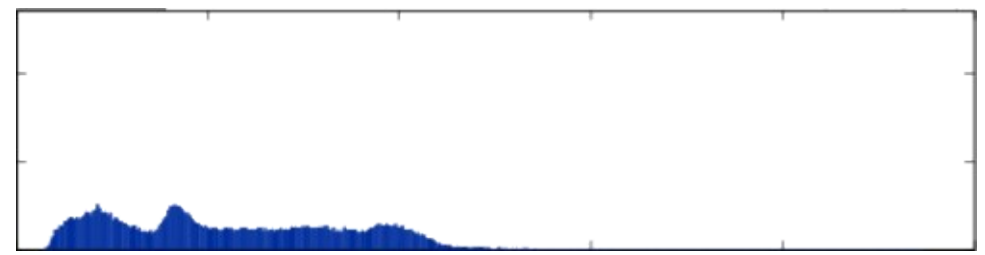




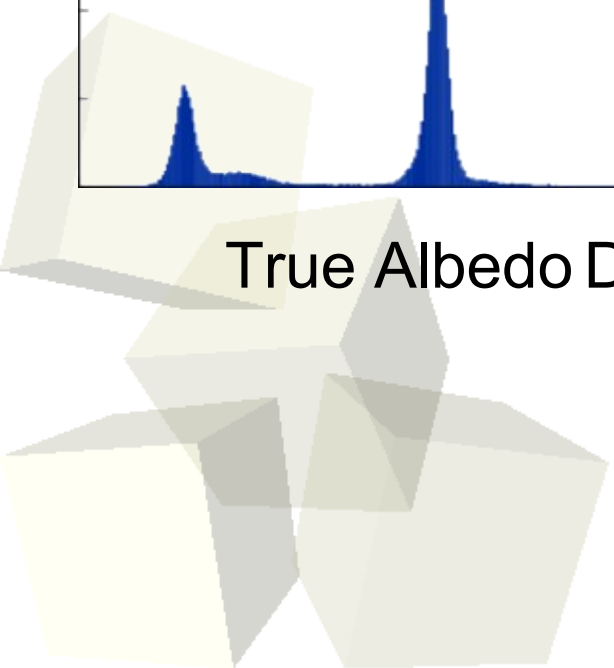
- Does enforcing “peakiness” resolve the GBR ambiguity?



True Albedo Distribution



Albedo Distribution Under GBR



# Entropy Minimization

- Entropy is a natural measure of the spread of a distribution and conversely its peakiness,

$$H(f) = - \int_S f(x) \log f(x) dx$$

- ◆  $f$  is a p.d.f.
  - ◆  $S$  is the support of  $f$
- Doesn't depend on knowledge of  $k$  (*# of colors*)
    - ◆ Advantage over most clustering algorithms
  - Entropy minimization has been successfully applied to other computer vision problems  
[Finlayson et al. 2004; Palubinskas et al. 1998]



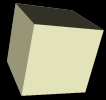
# Entropy Minimization

- Let  $\tilde{\rho} = \|\hat{\mathbf{b}}^\top \tilde{\mathbf{G}}\| = \|\mathbf{b}^\top \mathbf{G}^{-1} \tilde{\mathbf{G}}\|$  be a random variable representing the albedo distribution obtained by correcting for GBR  $\tilde{\mathbf{G}}$ .
- Let  $f_{\tilde{\rho}}$  be the p.d.f. of  $\tilde{\rho}$ .

- Objective :

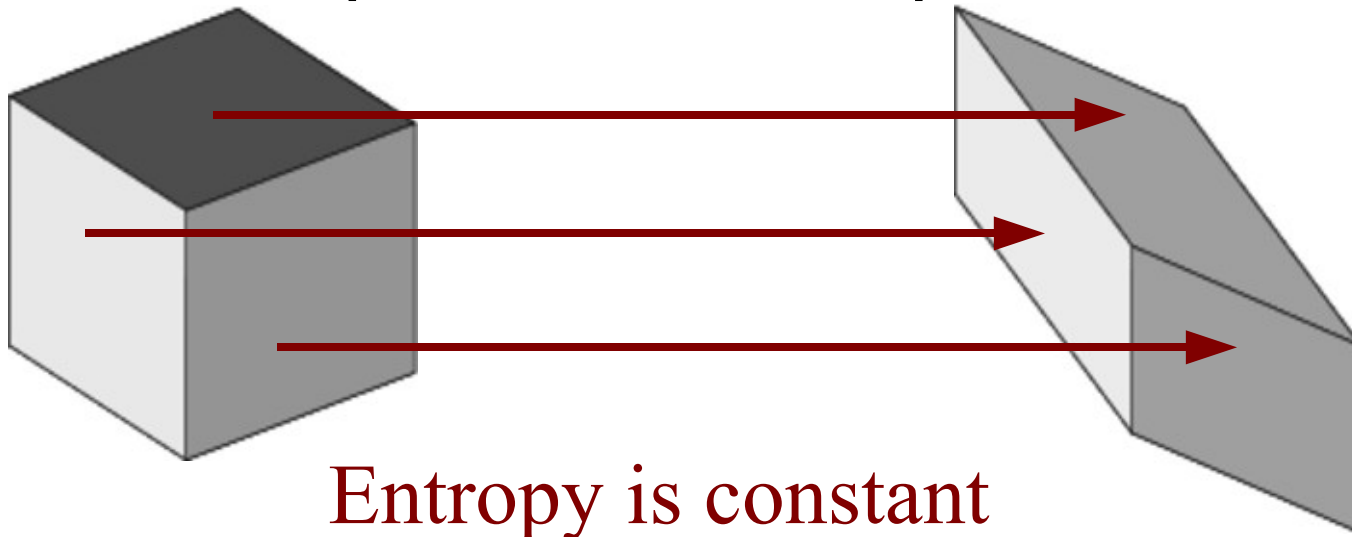
$$\text{Minimize } H(f_{\tilde{\rho}}) = - \int_S f_{\tilde{\rho}}(x) \log f_{\tilde{\rho}}(x) dx$$

with respect to  $(\tilde{\mu}, \tilde{\nu}, \tilde{\lambda})$  where  $\tilde{\mathbf{G}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ \tilde{\mu} & \tilde{\nu} & \tilde{\lambda} \end{pmatrix}$



# Degenerate Configurations

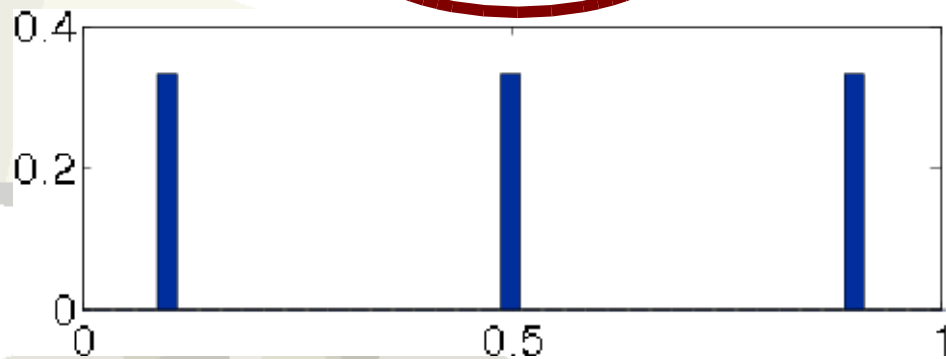
- $k$  albedos on  $k$  planar surface patches



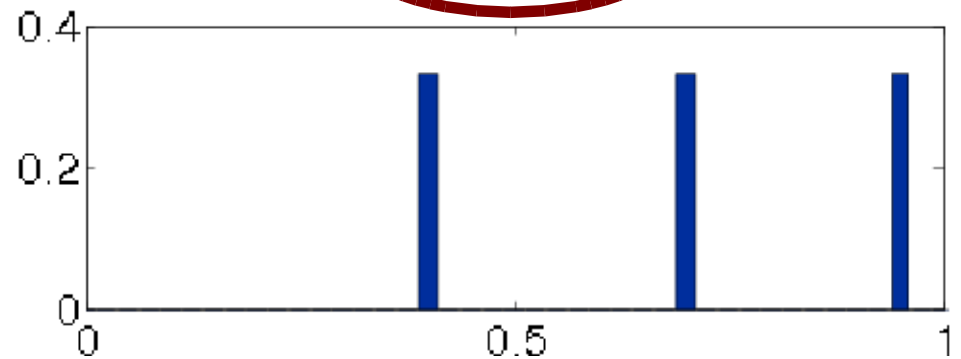
Entropy is constant

$$H = \log(3)$$

$$H = \log(3)$$



True distribution



GBR transformed distribution



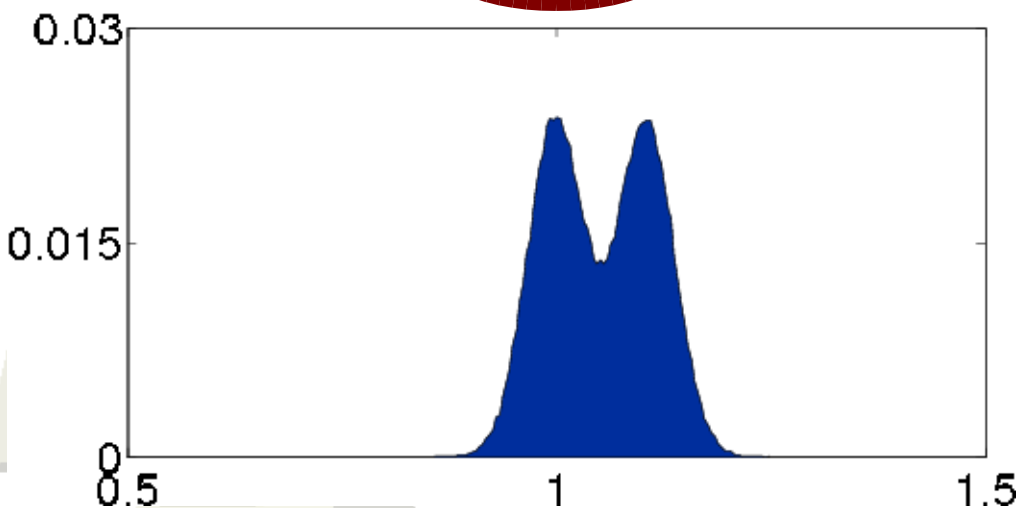


# Degenerate Configurations

- *Nearby albedo modes.*

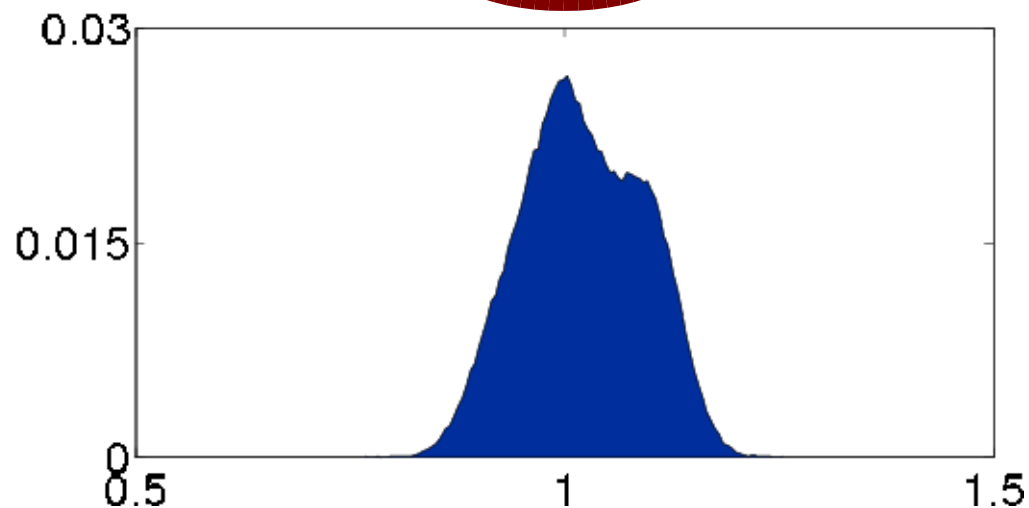
Entropy is lower under GBR

$H = 5.92$

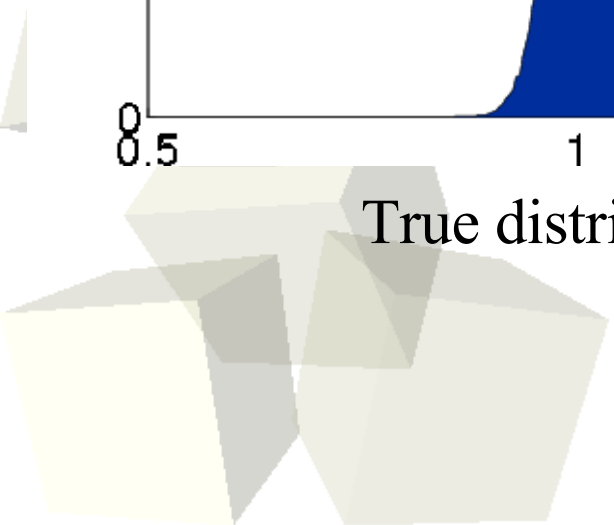


True distribution

$H = 5.87$



GBR transformed distribution





# Theoretical Correctness

## ■ Intuition

- Each albedo corresponds to a delta function in the distribution
- A GBR perturbs each mode of the distribution
- Perturbation has finite support proportional to  $(\mu, \nu, \lambda)$
- Entropy can only increase as long as modes don't overlap

$$H = 1.00$$



$$\mu = 0.000, \nu = 0.000, \lambda = 1.000$$

$$H = 3.99$$



$$\mu = 0.050, \nu = 0.050, \lambda = 1.050$$

$$H = 3.19$$



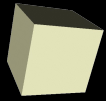
$$\mu = 0.025, \nu = 0.025, \lambda = 1.025$$

$$H = 4.74$$



$$\mu = 0.100, \nu = 0.100, \lambda = 1.100$$





# Theoretical Correctness

## ■ Theorem :

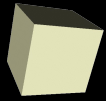
*The true GBR parameters  $(\mu, \nu, \lambda)$  correspond to a local minima of our objective when the following hold,*

- ♦ *Surface contains  $k \ll N$  albedo values*
- ♦ *Non-degenerate surface normal distribution*
- ♦ *Albedo is independent of surface normal*

■ Sufficient (not necessary) conditions.

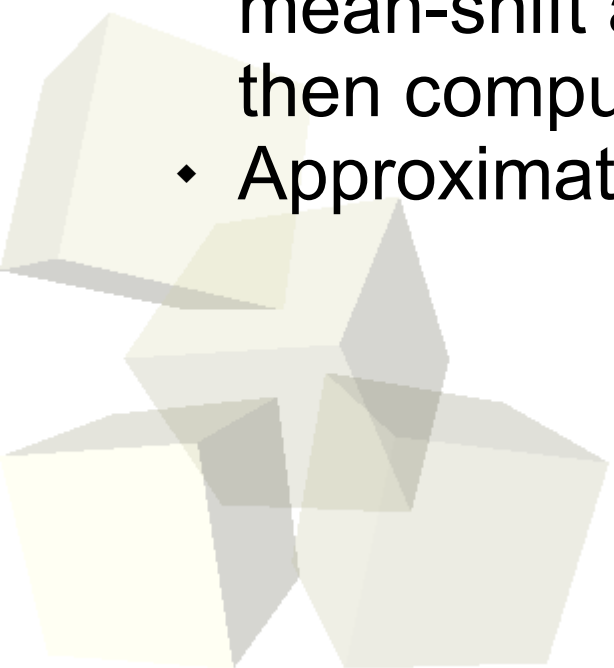
■ More details in paper.

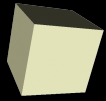




# Approximating the Entropy

- Entropy is defined w.r.t. a density function
- In practice only have samples drawn from the distribution
- Options :
  - ◆ Fit a continuous distribution (using Parzen windows, mean-shift algorithm, kernel based estimators, etc.) then compute entropy
  - ◆ Approximate entropy from a histogram



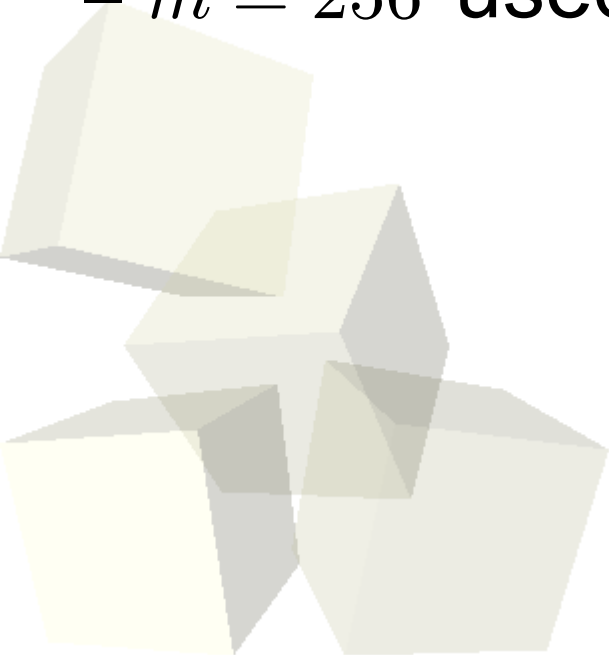


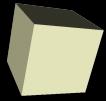
# Approximating the Entropy

- MLE estimator of entropy,

$$\hat{H}_{MLE}(f) = - \sum_{i=1}^m \frac{a_i}{n} \log \frac{a_i}{n}$$

- $\{a_i\}, i = 1 \dots m$  is an  $m$ -bin histogram computed from  $n$  samples
- $\hat{H}_{MLE}$  is biased, but variance is low
- $m = 256$  used in our experiments





# Algorithm Overview

- Input : M images of N pixels each
- Algorithm
  - i. Recover normals / albedos up to a GBR using algorithm of Yuille and Snow
  - ii. Perform discrete search over GBR parameters  $\mu, \nu, \lambda$ 
    - a) Apply GBR
    - b) Compute m-bin histogram  $\{a_i\}, i = 1 \dots m$  of albedo values
    - c) Approximate entropy using

$$H(f_\rho) \simeq - \sum_{i=1}^m \frac{a_i}{N} \log \frac{a_i}{N}$$

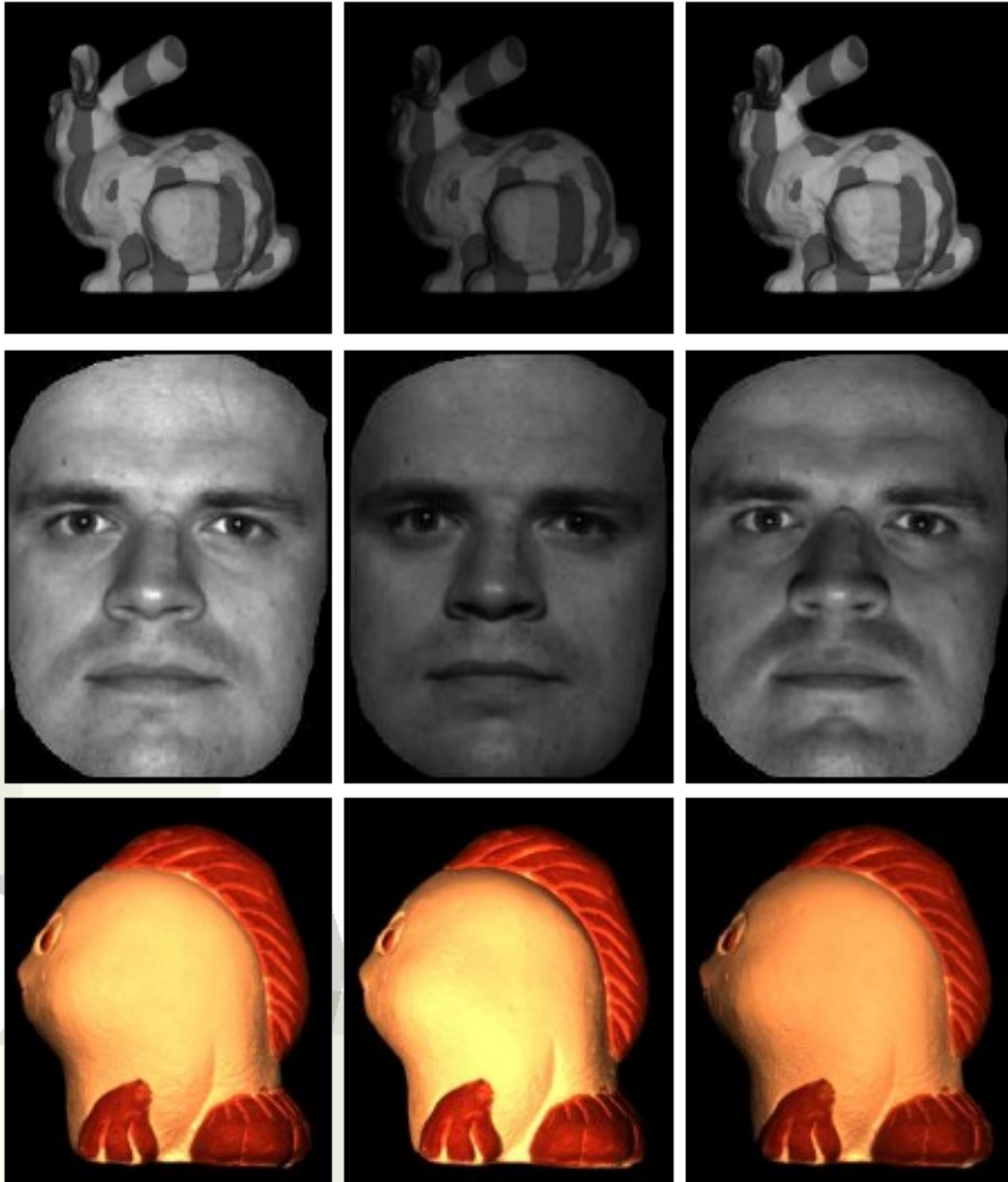
- iii. Apply GBR corresponding to  $\arg \min_{\mu, \nu, \lambda} H(f_\rho)$
- iv. Integrate to obtain surface







# Experimental Results



- Input Images
- Three datasets

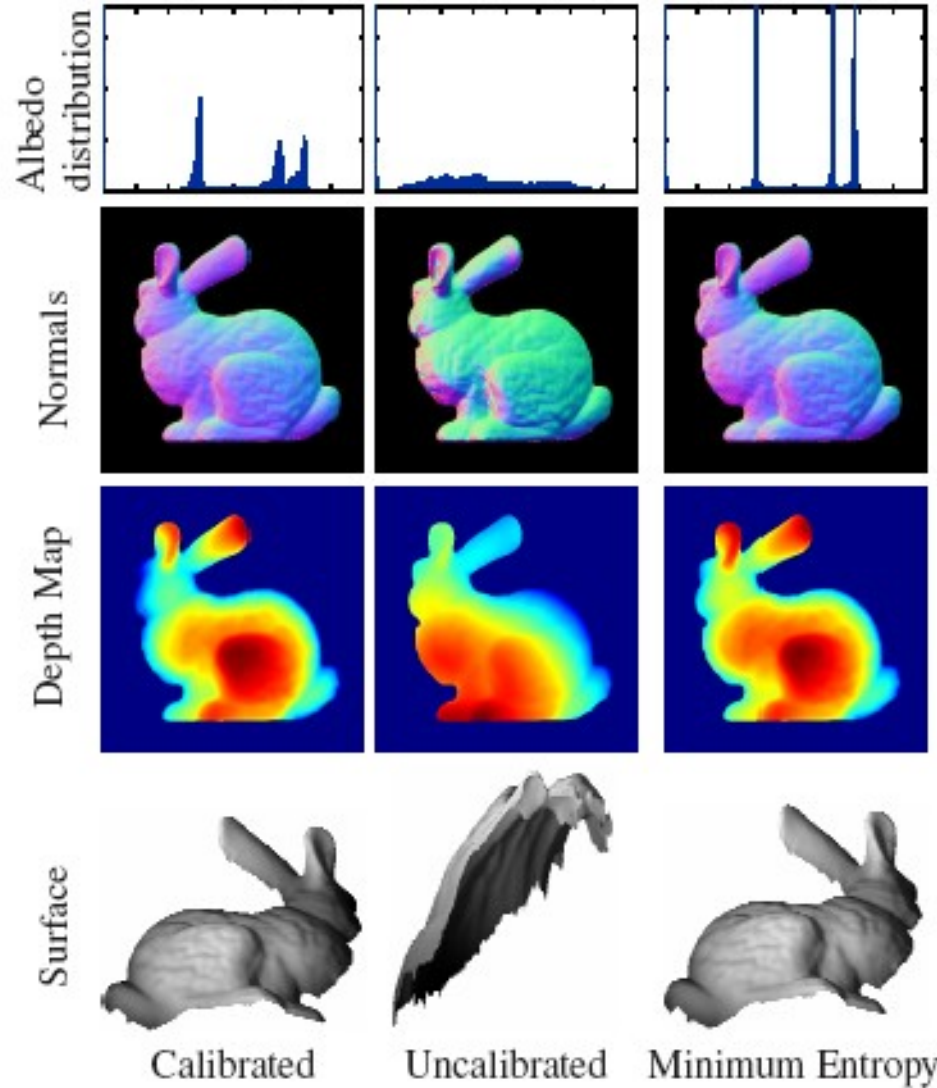




# Stanford Bunny (Synthetic)



6 input images

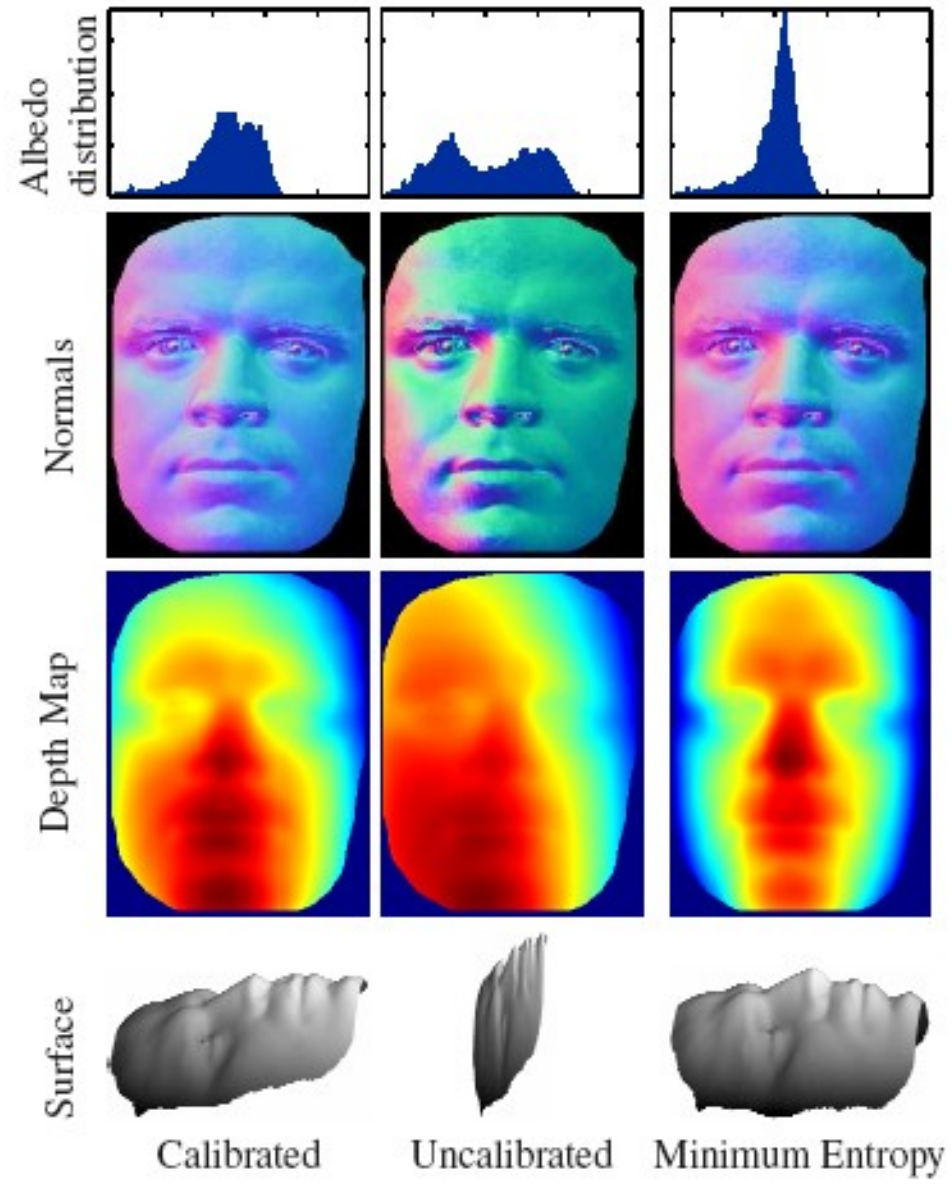




# Yale B Face Database



9 input images

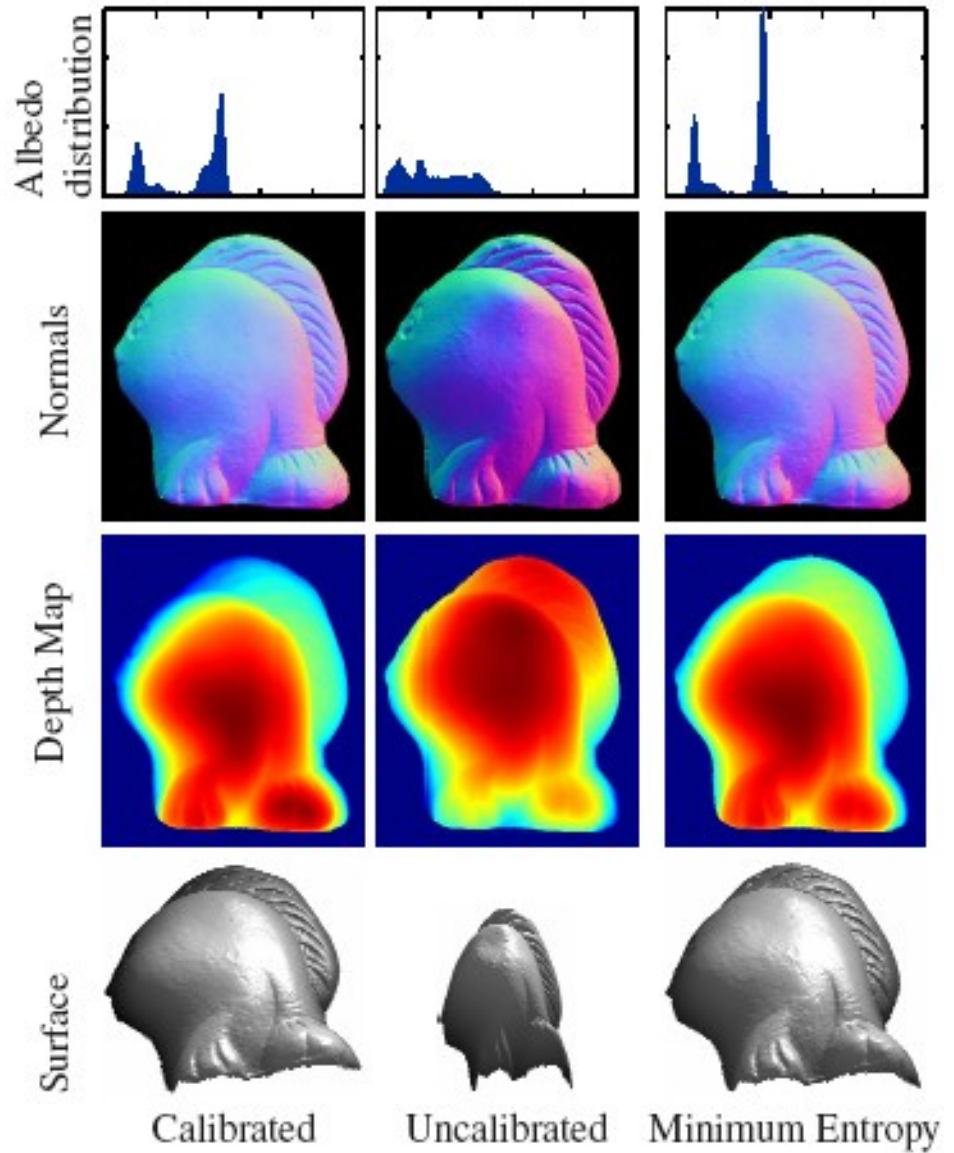




# Red & Yellow Fish

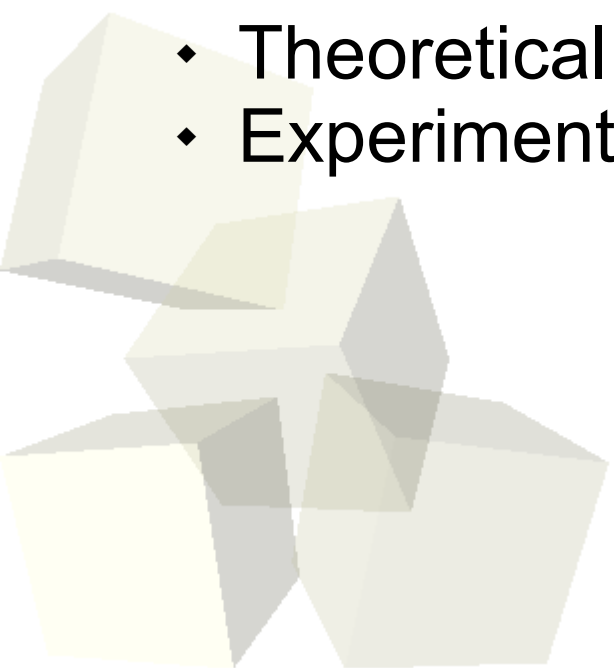


5 input images





- Novel constraint
  - ◆ Albedo distribution should have low entropy
  - ◆ Valid for large class of real-world objects
- New method to resolve the GBR ambiguity
  - ◆ By minimizing entropy of albedo distribution
- Validation
  - ◆ Theoretical
  - ◆ Experimental

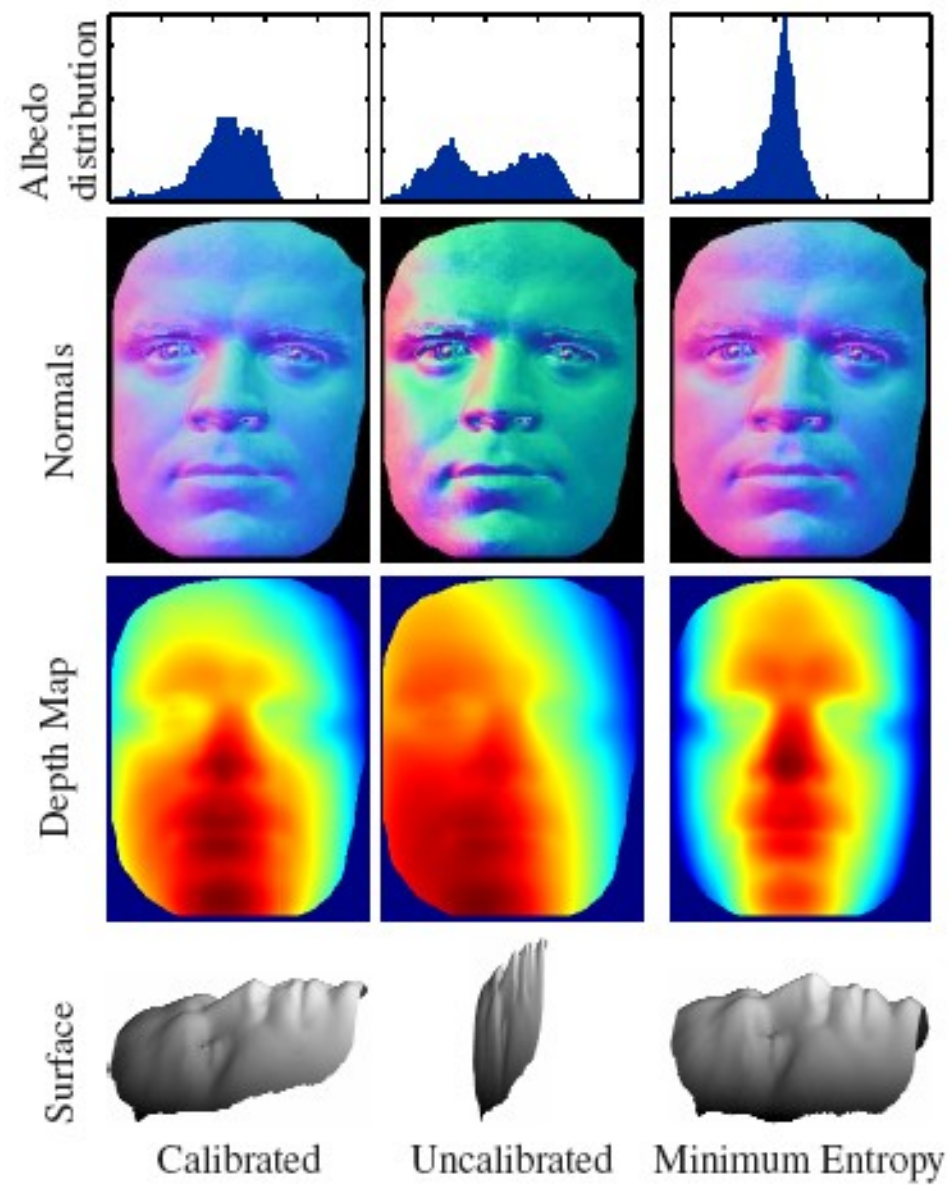




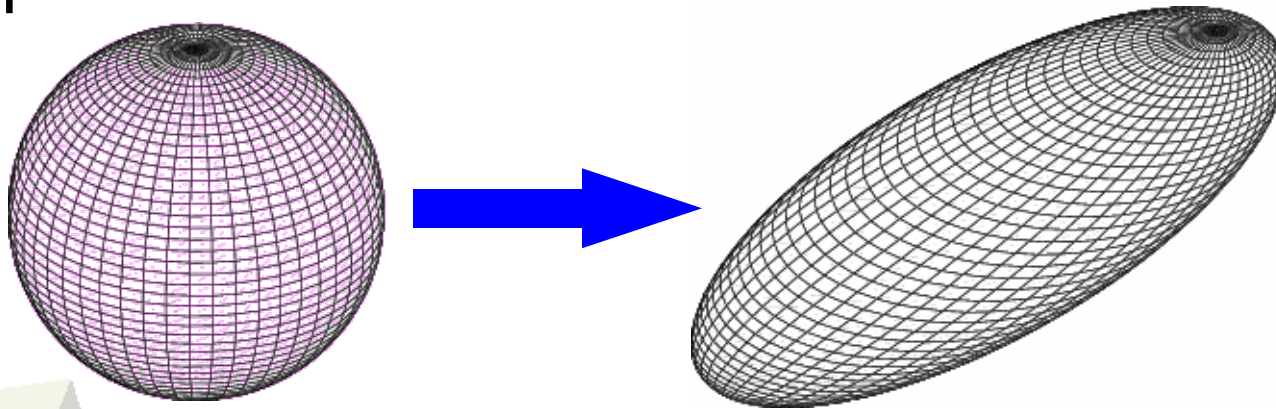
# Questions?



9 input images



- Exploit color information
  - ◆ Increases separability in the albedo distribution
- Exploit geometry
  - ◆ Facet vectors ( $\hat{\mathbf{b}} = \hat{\rho}\hat{\mathbf{n}}$ ) should lie on concentric ellipsoids under a GBR



- Apply method to other ambiguities
  - ◆ Lorentz ambiguity [Basri & Jacobs 2001]
  - ◆ KGBR ambiguity [Yuille et al. 2001]

